

MARYLAND DEPARTMENT OF THE ENVIRONMENT

Land Management Administration • Bureau of Mines

160 South Water Street • Frostburg, Maryland 21532

(301) 689-1440 • 1-800-633-6101 • <http://www.mde.state.md.us>

APPLICATION FOR MINING OPERATIONS MODULE I-A

Permit Application No.: _____ Date: _____

1. APPLICANT IDENTIFICATION AND INTERESTS

1.1 Name of Applicant or Company: _____

1.2 Address: _____

1.3 City: _____ State: _____ Zipcode: _____

1.4 Telephone Number: _____

1.5 Applicant Employer ID Number: _____

1.6 Applicant Social Security Number: _____

1.7 Is the Applicant a Licensed Maryland Operator? YES NO

If YES, list Operator's License Number: _____

1.8 Identify resident agent, in Maryland, for service of process:

Name: _____

Address: _____

City: _____ State: _____ Zipcode: _____

Telephone Number: _____

Employer ID Number: _____

Social Security Number: _____

1.9 Identify individual who will pay the federal abandoned mine land reclamation fees:

Name: _____

Address: _____

City: _____ State: _____ Zipcode: _____

Telephone Number: _____

Employer ID Number: _____

Social Security Number: _____

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1.10 Indicate legal structure of applicant:

- Single proprietorship (individual)
- Partnership
- Corporation: List State of Incorporation: _____
- Association
- Other, List: _____

Attach certified copy of partnership agreement, incorporation from Secretary of State, or certificate of authority to conduct business in Maryland, whichever is appropriate, and label Attachment I-A-1.10.

1.11 If applicant is a single proprietorship (individual), list owner:

Name: _____
Address: _____
City: _____ State: _____ Zipcode: _____
Telephone Number: _____
Employer ID Number: _____
Social Security Number: _____
Beginning Date of Ownership: _____

1.12 If applicant is a partnership, list all partners. If any partner is a business entity and not an individual, also complete item 1.16 for that entity.

Name: _____
Address: _____
City: _____ State: _____ Zipcode: _____
Telephone Number: _____
Employer ID Number: _____
Social Security Number: _____
Location in Organizational Structure: _____
Percent of Ownership: _____
Beginning Date of Ownership: _____

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1.12 (Continued)

Name: _____
Address: _____
City: _____ State: _____ Zipcode: _____
Telephone Number: _____
Employer ID Number: _____
Social Security Number: _____
Location in Organizational Structure: _____
Percent of Ownership: _____
Beginning Date of Ownership: _____

NOTE: Attach additional entries as needed using the above format and Label Attachment I-A-1.12. No. of additional entries _____.

- 1.13 If the applicant's legal structure is other than a single proprietorship or a partnership, provide all the information set forth below for: (1) Officers [President, Vice President, Secretary, Treasurer]; (2) Stockholders owning ten (10) percent or more of any class of voting stock; and (3) Directors, and any other person performing a function similar to a Director. If any person listed below is a business entity and not an individual, also complete item 1.16 for that entity.

Name: _____
Address: _____
City: _____ State: _____ Zipcode: _____
Telephone Number: _____
Employer ID Number: _____
Social Security Number: _____
Ownership/Control Relationship to Applicant: _____
Location in Organizational Structure: _____
Official Title/Position: _____
Date Position Was Assumed: _____
Percent of Ownership: _____
Beginning Date of Ownership: _____

NOTE: Attach additional entries as needed using the above format and Label Attachment I-A-1.13. No. of additional entries _____.

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1.14 Will the coal be mined under a lease, sublease, or other contract? YES NO

Identify below every person owning the coal or controlling the coal to be mined under a lease, sublease, or other contract and having the right to receive the coal after mining. If none, check box:

Also, identify below every person owning the coal or controlling the coal to be mined under lease, sublease, or other contract and having the authority to determine the manner in which the surface coal mining operation is conducted. If none, check box:

If any person listed below is a business entity and not an individual, also complete item 1.16 for that entity.

NOTE: Attach additional entries _____ as needed using the above format and Label Attachment I-A-1.14. No. of additional entries _____.

1.15 Identify below all persons who have the authority or ability to commit the financial, real property assets, or working resources of the applicant who are not otherwise identified as owners, officers, or directors of the applicant. If none, check box:

If any person listed below is a business entity and not an individual, also complete item 1.16 for that entity.

Name: _____

Address: _____

City: _____ State: _____ Zipcode: _____

Telephone Number: _____

Employer ID Number: _____

Social Security Number: _____

Ownership/Control Relationship to Applicant: _____

Beginning Date of Relationship: _____

NOTE: Attach additional entries as needed using the above format and Label Attachment I-A-1.15. No. of additional entries _____.

1.16 Complete this item whenever a business entity is listed in items 1.12, 1.13, 1.14, 1.15, or 1.16. Check the box below which corresponds to the item number in which the entity is found.

Check appropriate box 1.12 1.13 1.14
 1.15 1.16

Name of entity: _____

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1.16 (Continued)

List below the owners and controllers of entity. If any person listed is a business entity and not an individual, also complete an item 1.16 for that entity.

Name: _____

Address: _____

City: _____ State: _____ Zipcode: _____

Telephone Number: _____

Employer ID Number: _____

Social Security Number: _____

Ownership/Control Relationship to Applicant: _____

Location in Organizational Structure: _____

Official Title/Position: _____

Percent of Ownership: _____

Beginning Date of Ownership: _____

Beginning Date of Affiliation: _____

NOTE: Attach additional entries as needed using the above format and Label Attachment I-A-1.16. No. of additional entries _____.

1.17 List all permits issued within the last five (5) years preceding the date of this application for surface coal mining operations in the United States owned or controlled by the applicant and/or person identified in items 1.11, 1.12, 1.13, 1.14, 1.15, or 1.16.

Name of Permittee: _____

Address: _____

City: _____ State: _____ Zipcode: _____

Employer ID Number: _____

Permit Number	State	Regulatory Authority	MSHA Number	Date MSHA No. Issued

NOTE: Attach additional entries as needed using the above format and Label Attachment I-A-1.17. No. of additional entries _____.

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1.18 List all permit applications pending for surface coal mining operations in the United States owned or controlled by the applicant and/or any person identified in items 1.11, 1.12, 1.13, 1.14, 1.15, or 1.16.

Applicant: _____

Address: _____

City: _____ State: _____ Zipcode: _____

Employer ID Number: _____

Permit Number	State	Regulatory Authority	MSHA Number	Date MSHA No. Issued

NOTE: Attach additional entries as needed using the above format and Label Attachment I-A-1.18. No. of additional entries _____.

2. VIOLATION INFORMATION

2.1 Has the applicant or any person listed in items 1.11, 1.12, 1.13, 1.14, 1.15, or 1.16 or any subsidiary, affiliate, or person controlled by or under common control with the applicant.

a) Had a federal or state coal mining permit suspended or revoked in the five (5) years preceding the date of this application? YES NO

b) Forfeited a reclamation performance bond or a security deposited in lieu of a bond? YES NO

If YES, to a) or b) above, complete the following:

Name of Applicant or Person: _____

Permittee: _____

Permit Number: _____ Date of Issuance: _____

Regulatory Authority Suspending or Revoking the Permit: _____

Stated Reason for Action: _____

Current Status of Permit: _____

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2.1 (Continued)

(If administrative or judicial proceedings initiated, provide the following:)

Date: _____ Location: _____

Type: _____

Current Status: _____

Note: Attach additional entries as needed using the above format and Label Attachment I-A-2.1. No. of additional entries _____.

2.2 Has the applicant been issued a notice of violation in connection with any surface coal mining and reclamation operation during the three (3) years preceding the date of this application for violation of SMCRA, any federal law, rule or regulation pertaining to air or water environmental protection, or any state law, rule or regulation enacted pursuant to federal law, rule or regulation pertaining to air or water environmental protection?

YES NO

If YES, provide the following information:

Name to Whom Violation was Issued: _____

Permit Number: _____

MSHA No.: _____ Date MSHA No Issued: _____

Violation ID No.: _____

Issuing Regulatory Authority: _____

Date Violation Issued: _____

Description of Alleged Violation: _____

Abatement Actions Taken: _____

Date of Abatement Actions: _____

Type of Proceedings (Administrative or Judicial): _____

Date of Proceedings: _____

Status of Proceedings: _____

Location of Proceedings: _____

NOTE: Attach additional entries as needed using the above format and Label Attachment I-A-2.2. No. of additional entries _____.

2.3 List all unabated cessation orders, and all unabated air and water quality notices of violation received prior to the date of this application by any surface coal mining and

reclamation operation owned or controlled by either the applicant or by any person identified in items 1.11, 1.12, 1.13, 1.14, 1.15, or 1.16. If none, check box: .

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2.3 (Continued)

Name of Applicant or Person: _____

Name to Whom Violation was Issued: _____

Permit No.: _____

MSHA No.: _____ Date MSHA No. was Issued: _____

Violation ID No.: _____

Issuing Regulatory Authority: _____

Date Violation Issued: _____

Description of Alleged Violation: _____

Abatement Actions Taken:

Date of Abatement Actions: _____

Type of Proceedings (Administrative or Judicial): _____

Date of Proceedings: _____

Status of Proceedings: _____

Location of Proceedings: _____

Note: Attach additional entries as needed using the above format and Label Attachment I-A-2.3. No. of additional entries _____.